

Investment Advisor "IA" Information (This portion to be completed by IA.)

IA Firm Name (Please print.) _____

IA Master Account Number _____ ISG Team _____

Please note: This addendum is only valid when attached to a completed Schwab Institutional® account application or form.

Account Holder Name: _____
(As listed on account application or form)

Additional Account Holder/Co-Trustee†/Custodian†/Co-Executor

First Name _____ Middle _____ Last _____ Are you known by another name? (Specify) _____

Home Street Address (no P.O. boxes please) _____ City _____ State _____ Zip Code _____ Home Telephone Number _____

Mailing Address (if different from above, and P.O. boxes may be used) _____ City _____ State _____ Zip Code _____

Social Security/Tax ID Number _____ Passport Country of Issue (if not a U.S. citizen) _____ Passport Number (if not a U.S. citizen) _____
 USA Other Other USA Other

Date of Birth (mm/dd/yy) _____ Country(ies) of Citizenship (Must list all.) _____ Country of Legal Residence _____

Employer Name/Industry (If self-employed, indicate nature of business.) _____ Occupation/Position _____ Yes No Yes No
 Not Employed? Retired?

Business Street Address _____ City _____ State _____ Zip Code _____ Business Telephone Number _____

I am employed by, affiliated with, or am either a director or owner of one or more securities firms. Yes No If yes, list _____ I am a director, 10% shareholder or policy-making officer of one or more publicly traded companies. Yes No If yes, list _____

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(Continued on next page.)

* If listed on account registration.
† Applies only if account is a Custodial IRA.



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